

## TITLE OF THE INVENTION

NUCLEIC ACID BINDING ENHANCEMENT BY CONJUGATION  
WITH NUCLEOTIDES, NUCLEOSIDES, BASES AND/OR THEIR ANALOGUES

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## CROSS-REFERENCE TO RELATED APPLICATIONS

This application claims the benefit of U.S. Provisional Application No. 60/281,547, filed April 4, 2001, and is a continuation-in-part of U.S. Patent Application No. 09/909,496, filed July 20, 2001, which is a continuation-in-part of U.S. Patent Application No. 09/664,827, filed September 19, 2000, and is also a continuation-in-part of U.S. Patent Application No. 09/613,263, filed July 10, 2000, which is a continuation-in-part of U.S. Patent Application Serial No. 09/468,679, filed December 21, 1999, the disclosures of which are incorporated by reference herein in their entireties.

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## SPECIFICATION

## BACKGROUND OF THE INVENTION

## 1. FIELD OF INVENTION

This invention relates to hybridization of nucleic acids and/or nucleic acid analogues, particularly in the context of genetic diagnostics and therapeutics.

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## 2. DESCRIPTION OF RELATED ART

Although there is a recent report that hybridization efficiency can be enhanced by probes containing hairpins proximal to the target-binding base sequence under certain conditions (Riccelli et al., "Hybridization of single-stranded DNA targets to immobilized complementary DNA probes: comparison of hairpin versus linear capture probes." Nucleic Acids Res 2001 Feb 15;29(4):996-1004; see also U.S. Patent No. 5,770,365 to Lane et al.) it is generally believed that hybridization efficiency is compromised by hairpin formation, wherein a first base sequence of a probe bonds with a second base sequence of the probe complementary to the first base sequence. As a result the probe is less available to complex with target base

sequences in the test medium. Single stranded RNA similarly forms hairpins and can therefore be difficult to assay.

While increasing stringency tends to diminish the formation of hairpins, it also diminishes the potential of all hybridization events.

5 It is the constant preoccupation of researchers to discover means of enhancing binding efficiency of nucleic acids and to mitigate the consequences of unwanted hairpin secondary structure. It is therefore desired to provide such means.

It is further desired to hinder probe hairpin formation while 10 substantially preserving, and preferably enhancing, the sensitivity of the probe for the target base sequence.

All references cited herein are incorporated herein by reference in their entireties.

#### BRIEF SUMMARY OF THE INVENTION

15 The invention provides an improved method of forming a complex between a probe containing probe nucleobases and a target containing target nucleobases, comprising mixing the probe and the target under hybridizing conditions, wherein at least one blocking agent comprising at least one nucleobase is conjugated to said probe and/or said target prior to 20 hybridizing said probe with said target, said conjugation enhancing an avidity and/or a specificity of said hybridizing.

#### DETAILED DESCRIPTION OF THE INVENTION

The invention flows from the inventor's discovery that the use of 25 hairpin blocking agents to prevent hairpin formation can have a generally positive effect on hybridization complex formation, despite the teachings of Riccelli et al., supra, to the contrary. Such agents also improve hybridization complexes comprising a plurality of nucleobase strands, which do not have secondary structure or hairpin potential. The invention herein

disclosed was driven by the desire to develop means of mitigating hairpin structure in probe strands. It was unexpectedly discovered that the conjugation herein disclosed enhances nucleic acid binding generally.

The target can be single-stranded or double-stranded, such that  
5 hybridization is based on the conventional double-stranded model or the triplex model disclosed in U.S. Patent Application No. 09/468,679, filed December 21, 1999. Suitable targets include, e.g., ssDNA, RNA, dsDNA, dsRNA, DNA:RNA, genomic nucleic acid, nucleic acid amplicons and analogues thereof. In embodiments, the target is a single molecule of genomic  
10 nucleic acid elongated and fixed to a planar surface.

The target and probe need not be homopolymeric to achieve hybridization in the case of triplex formation. Thus, in embodiments, the probe nucleobases are arranged in a probe sequence of interspersed purines and pyrimidines, and the target nucleobases are arranged in a target  
15 sequence at least partially complementary to the probe sequence.

Suitable probes for use in the inventive assay include, e.g., ssDNA, RNA, PNA, LNA and other nucleic acid analogues having uncharged, positively-charged, sugar phosphate and/or peptide backbones. Probe sequences having any length from 8 to 20 bases are preferred since this is  
20 the range within which the smallest unique RNA or DNA sequences of prokaryotes and eukaryotes may be identified. Probes of 12 to 18 bases are considered particularly preferred since this is the length of the smallest unique base sequences in the human genome. In embodiments, probes of 5 to 30 bases are most preferred. However, a plurality of shorter probes can be  
25 used to detect a nucleotide sequence having a plurality of non-unique target sequences therein, which combine to uniquely identify the nucleotide sequence. The length of the probe can be selected to match the length of the target.

The probe can comprise a heteropolymeric sequence of nucleobases. Thus, in certain embodiments, the probe nucleobases are at least two different naturally-occurring nucleobases selected from the group consisting of A, T, C, G, U and rare naturally occurring (e.g., non-canonical) bases. In other embodiments, at least some of the probe nucleobases are synthetic analogues of the naturally-occurring nucleobases. It should be apparent from the foregoing that the term "nucleobase" as used herein encompasses the bases A, T, C, G and U and natural and synthetic analogues thereof.

10 The probe can be chiral or achiral.

The directionality of the probe can be parallel or antiparallel to that of the target.

15 The invention enhances nucleic acid binding and mitigates the consequences of hairpin formation (i.e., self-hybridization and folding of the probe and/or target) through the use of at least one blocking agent comprising a base conjugated to the probe and/or to the target. The blocking agent is preferably a single naturally-occurring nucleobase selected from the group consisting of A, T, C, G and U, other naturally occurring bases or a synthetic nucleobase analogue. The blocking agent is 20 preferably provided in the form of a free base, a nucleoside, or a nucleotide. At least one of the nucleobases on the target and/or the probe is blocked with a blocking agent.

25 Complexes of the invention can be provided for analytic, diagnostic, therapeutic and/or engineering purposes. The complexes can be used to analyze, diagnose and/or treat conditions associated with infection by an organism or virus. The organism or virus can be quantitated, if desired.

Complexes of the invention can be formed under conventional duplex hybridization conditions, under triplex hybridization conditions or under conditions of in situ hybridization.

The complex can be formed under non-competitive or competitive conditions. For example, the complex can be formed in a presence of a primary probe and at least one other probe containing a sequence of nucleobases complementary to a secondary target sequence different from a primary target sequence of said target. In embodiments, the other probe can differ from the primary probe by only a single nucleobase. The other probe can have a backbone composition the same as or different from that of the primary probe. In embodiments, the nucleobases of the other probe are unconjugated, partially conjugated or fully conjugated to blocking bases. The other probe can be provided in the test medium in a concentration less than, more than or equal to the concentration of the probe. The other probe can form a complex with the target alone, or with the target and primary probe. Non-specific binding of the primary probe and/or the other probe can be suppressed by practicing the method herein disclosed, if desired.

In preferred embodiments, the invention provides a rapid, sensitive, environmentally friendly, and safe method for detecting binding between a target and a probe. In embodiments, the probe or the target is bound to a substrate, surface or biochip. In other embodiments, neither the probe nor the target is bound to a support, but rather, the complex is formed in solution. In embodiments, at least one of the probe and the target is dehydrated prior to being added to a test medium.

The complex is preferably detected by a change in at least one label. The at least one label can be attached to the probe and/or the target, and/or can be free in the test medium. The at least one label can comprise at least two moieties.

The label is preferably at least one member selected from the group consisting of a spin label, a fluorophore, a chromophore, a chemiluminescent agent, an electro-chemiluminescent agent, a radioisotope,

an enzyme, a hapten, an antibody and a labeled antibody. Preferably, the complex is detected by at least one emission from the label or by monitoring an electronic characteristic of the complex.

The labeled antibody can be, e.g., a labeled anti-nucleic acid/nucleic acid antibody, which can be labeled with a detectable moiety selected from the group consisting of a fluorophore, a chromophore, a spin label, a radioisotope, an enzyme, a hapten, a chemiluminescent agent and an electro-chemiluminescent agent.

The complex can be detected under at least one varied condition, such as disclosed in U.S. Patent No. 6,265,170 to Picard et al. Suitable varied conditions include, e.g., (a) a change in nonaqueous components of the test medium, (b) a change in a pH of the test medium, (c) a change in a salt concentration of the test medium, (d) a change of an organic solvent content of the test medium, (e) a change in a formamide content of the test medium, (f) a change in a temperature of the test medium, and (g) a change in chaotropic salt concentration in the test medium. In addition, the varied condition can be the application of a stimulus, such as, e.g., electric current (DC and/or AC), photon radiation (e.g., laser light), or electromagnetic force. The stimulus can be applied constantly or pulsed. Detection can be accomplished through the use of a single varied condition, or through a combination of conditions varied serially.

The response of a characteristic of the complex in the test medium to the varied condition or stimulus can be monitored to detect the complex. The characteristic can be, e.g., electrical conductance or Q (a resonant structure of a transmission line or changes in phase or amplitude of a signal propagated in the transmission line in the test medium).

In embodiments, the detection method comprises: (a) detecting a signal from a label, wherein the signal is correlated to a binding affinity between said probe and said target; (b) varying a condition of a test

medium; (c) detecting a subsequent signal; and (d) comparing the signal and the subsequent signal. The varying and the detecting can be repeated at least once or performed only once.

Unlike certain prior art assays, the invention not only detects the presence of hybridization, but also provides qualitative and quantitative information regarding the nature of hybridization between a probe and target. Thus, the invention enables the practitioner to: (a) detect the presence of the target in the test medium; (b) detect allelic or heterozygous variance in the target; (c) quantitate the target; and (d) detect an extent of complementarity between the probe and the target.

The formation of the complex can be facilitated by intercalators, as disclosed in U.S. Patent Application No. 09/613,263, filed July 10, 2000. The intercalators are optionally fluorescent.

Complex formation can also be facilitated by DNA or RNA binding proteins or peptides, or cations. In embodiments, a cationic moiety can be covalently bound to the probe.

It has been experimentally shown that hairpin formation in probes can be obviated at little or no cost to efficiency when practicing duplex or triplex hybridization with target at low temperatures, such as room temperature. Experiments have shown that it is possible to conjugate free bases onto the complementary bases of a probe prior to exposing that probe to target without inhibiting the rapid complexing of the probe with the target.

The inventors have previously disclosed specific homologous binding between antiparallel and parallel strands. The method of this invention increases the avidity and specificity of both Watson-Crick and homologous binding. Remarkably, conjugation actually increases the specificity of Watson-Crick binding by suppressing mismatch binding.

In a particularly remarkable embodiment of the invention, it was unexpectedly found that conjugating less than all of the guanosines of the probe with free cytosines contributed to increased specificity of the probe as compared to unconjugated similar probes. Additionally, it was 5 unexpectedly found that slight conjugation, wherein less than 1 of the 4 Gs on the probe could have been conjugated with free Cs (assuming that every free C in the incubation mix had attached to a G in the probe, which is not likely), increased specificity for parallel probes, i.e., probes which are parallel to the orientation of the Watson-Crick complementary strand in the 10 duplex target.

At higher concentrations of C in the incubation mix, antiparallel probes displayed increased Watson-Crick binding motif specificity.

As used herein, the phrase "increased specificity" means an increase in the difference in the signal between perfectly matched probe-target 15 complexing and 1 bp mismatch probe-target complexing. Such specificity remarkably exists in respect of both Watson-Crick and homologous binding motifs.

Triplex assaying under conditions where free "Cs" are added to the test medium as not having been purified out after oligo conjugation does 20 not appear to have an effect, though this has not yet been confirmed experimentally by assaying with conjugated oligos which have been purified before use.

The invention will be illustrated in more detail with reference to the following Example, but it should be understood that the present 25 invention is not deemed to be limited thereto.

#### Example 1

This example will show that nucleic acids conjugated with free bases or nucleosides bind other nucleic acids with greater avidity and greater

specificity than do unconjugated nucleic acids under the same binding conditions.

Complementary sense and antisense 50-mer ssDNA target sequences, derived from exon 10 of the human cystic fibrosis gene (Nature 380, 207 5 (1996)) were synthesized on a DNA synthesizer (Expedite 8909, PerSeptive Biosystems) and purified by HPLC. SsDNA oligonucleotides were dissolved in ddH<sub>2</sub>O and diluted to a concentration of 1 pmole/ml. Equimolar amounts of complementary oligonucleotides were heated at 95°C for 10 min and allowed 10 to anneal gradually in the presence of 10 mM Tris, pH 7.5, 1 mM EDTA and 100 mM NaCl, as the temperature cooled to 21°C over 1.5 hours. DsDNA oligonucleotides were diluted in ddH<sub>2</sub>O at a concentration of 1 pmole/ml.

SEQ ID NO:1 was a 50-mer dsDNA target sequence having a percent GC content of 52%.

The sequence for the sense strand of the wild-type target DNA (SEQ ID 15 NO:1) was: 5'-GAG CAC CAT GAC AGA CAC TGT CAT CTC TGG TGT GTC CTA CGA TGA CTC TG-3'.

The sequence for the antisense strand of the wild-type target DNA (SEQ ID NO:1) was: 5'-CAG AGT CAT CGT AGG ACA CAC CAG AGA TGA CAG TGT CTG TCA TGG TGC TC-3'.

20 SEQ ID NO:2 was a 50-mer mutant dsDNA target sequence identical to SEQ ID NO:1, except for a one base pair mutation (underlined), at which the sense strand sequence CTC was changed to CTT.

The sequence for the sense strand of mutant SEQ ID NO:2 was: 5'-GAG CAC CAT GAC AGA CAC TGT CAT CTT TGG TGT GTC CTA CGA TGA CTC 25 TG-3'.

The sequence for the antisense strand of mutant SEQ ID NO:2 was: 5'-CAG AGT CAT CGT AGG ACA CAC CAA AGA TGA CAG TGT CTG TCA TGG TGC TC-3'.

Probe No. 1 was a 15-mer ssDNA probe designed to be completely complementary to a 15 nucleotide segment of the sense strand of the 50-mer wild-type target DNA (SEQ ID NO:1). The directionality of the probe was opposite or antiparallel to that of the sense strand in the target.

5           The sequence for Probe No. 1 (SEQ ID NO:3) was: 5'-CAC CAG AGA TGA CAG-3'.

Samples of two pmoles of ssDNA Probe No. 1 in ddH<sub>2</sub>O were heated at 95°C for 10 minutes and allowed to cool to room temperature for 30 minutes in the presence of various concentrations of a free base, resulting in 10 ssDNA probes containing conjugated bases. Duplicate samples of ssDNA Probe No. 1 were similarly denatured and cooled in the absence of added free bases to generate non-conjugated ssDNA probes. Two pmoles of these conjugated or non-conjugated ssDNA probes were then mixed with 2 pmoles of ssDNA target in the presence of 500 nM YOYO-1 and 0.5 x TBE in a final 15 reaction volume of 40 μl. The reaction mixtures were incubated at room temperature (21°C) for 5 minutes, placed into a quartz cuvette, irradiated with an argon ion laser beam having a wavelength of 488 nm, and monitored for fluorescent emission. The intensity of fluorescence was plotted as a function of wavelength for each sample analyzed.

20           When the non-conjugated ssDNA Probe No. 1 was reacted with the 50-mer wild-type sense strand of SEQ ID NO:1 or with the 50-mer mutant sense strand of SEQ ID NO:2, in the presence of YOYO-1, antiparallel complementary ssDNA:ssDNA complexes formed (Fig. 1A). Remarkably, it appears that the conjugated probe bound its matched antiparallel complement 25 with increased avidity while binding less to its mismatched complement. The fluorescent intensity emitted by the 1 bp T-G mismatched antiparallel complementary duplex (sense strand of SEQ ID NO:2 + Probe No. 1) was 45% lower than that obtained by the perfectly matched antiparallel complementary duplex (sense strand of SEQ ID NO:1 + Probe No. 1). Control

samples comprising each 50-mer ssDNA target plus 500 nM YOYO-1 exhibited levels of fluorescence which ranged from 92% to 93% lower than that observed with the perfectly matched duplexes (Fig. 1A). The level of fluorescence emitted by the 15-mer ssDNA Probe No. 1 plus 500 nM YOYO-1 was slightly greater than that produced by YOYO-1 alone.

When the ssDNA Probe No. 1 was reacted with the 50-mer wild-type antisense strand of SEQ ID NO:1 in the presence of YOYO-1, parallel homologous ssDNA:ssDNA complexes formed (Fig. 1B). The efficiency of matched parallel homologous ssDNA:ssDNA duplex formation was 14% lower than the efficiency of matched antiparallel complementary ssDNA:ssDNA duplex formation (compare Figs. 1A and 1B). The 1 bp A-G mismatched parallel homologous duplex formed when the 50-mer mutant antisense strand of SEQ ID NO:2 was reacted with the ssDNA Probe No. 1 in the presence of YOYO-1, produced a fluorescent emission intensity that was 47% lower than that emitted by the perfectly matched parallel homologous duplex (Fig. 1B).

The 15-mer ssDNA Probe No. 1 contains six adenine bases. Conjugation of 2 pmoles of ssDNA Probe No. 1 with 3 pmoles of free thymine could result in 25% of the complementary A or 100% of the homologous T within Probe No. 1 bound to the added thymine. Complementary A-T binding is energetically preferred. Reaction of 2 pmoles of ssDNA Probe No. 1 (conjugated with 3 pmoles of thymine) with 2 pmoles of the wild-type antisense strand of SEQ ID NO:1 in the presence of YOYO-1 resulted in dramatically enhanced matched parallel homologous ssDNA:ssDNA complex formation (Fig. 1B). Twenty-five percent conjugation of the ssDNA probe with 3 pmoles of thymine increased parallel homologous complex formation between the perfectly homologous sequences by 78%. By contrast, the efficiency of formation of parallel homologous complexes containing a 1 bp A-G mismatch (antisense strand of SEQ ID NO:2 + Probe No. 1) were increased by only 16% when Probe No. 1 was conjugated 25% with thymine than when non-conjugated Probe No. 1 was used.

(Fig. 1B). This corresponded to a 65% difference in fluorescent emission intensity for the 1 bp A-G mismatched parallel homologous complex compared to that observed for the perfectly matched parallel homologous complex when the T-conjugated Probe No. 1 was used. Conjugation of the ssDNA probe increased the specificity in discriminating between perfectly matched parallel homologous complexes and 1 bp mismatched parallel homologous complexes.

Remarkably, perfectly matched antiparallel complementary ssDNA:ssDNA complex formation was enhanced by 48% when Probe No. 1 conjugated 25% with thymine was reacted with the sense strand of SEQ ID NO:1 in the presence of YOYO-1 (Fig. 1A). The simultaneous interaction of an adenine in Probe No. 1 with the conjugated complementary thymine and the complementary T in the ssDNA target augmented formation of the perfectly matched antiparallel complementary complex. Remarkably, formation of the 1 bp T-G mismatched antiparallel complementary complex was very inefficient when T-conjugated Probe No. 1 was used, resulting in an 88% decrease in fluorescent emission intensity compared to that generated by the perfectly matched antiparallel complementary complex containing conjugated T (Fig. 1A). It is also remarkable that discrimination between perfectly matched and 1 bp mismatched antiparallel complementary ssDNA:ssDNA complexes was greatly enhanced by use of conjugated ssDNA probes in the presence of YOYO-1.

Twenty-five percent conjugation of Probe No. 1 with cytosine or guanosine also increased the efficiency of both antiparallel complementary and parallel homologous ssDNA:ssDNA complex formation in the presence of YOYO-1, as well as improved the specificity in differentiation between perfectly matched complexes and 1 bp mismatched complexes (data not shown).

Formation of ssDNA:ssDNA complexes comprising conjugated bases demonstrates nucleic acid recognition and interaction can be enhanced as to rate and specificity in respect of both complementary and homologous

binding preferences by prior conjugation of a nucleic acid strand by free bases, nucleosides or their analogues.

While the invention has been described in detail and with reference to specific examples thereof, it will be apparent to one skilled in the art 5 that various changes and modifications can be made therein without departing from the spirit and scope thereof.

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